- 1 A Bayesian Logistic Regression for Probabilistic Forecasts of the Minimum
- 2 September Arctic Sea Ice Cover
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- 12 Corresponding author: Sean Horvath (<u>sean.horvath@colorado.edu</u>)
- 13 **Key Points:**
 - A novel approach for probabilistic seasonal forecasts of minimum sea ice cover including a direct measure of uncertainty.
- Exhibits skillful forecasts compared to baseline statistical models up to 7-month lead time.
- Method can be easily adapted for forecasts of other sea ice attributes.

Abstract

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- 21 This study introduces a Bayesian logistic regression framework that is capable of providing
- skillful probabilistic forecasts of Arctic sea ice cover, along with quantifying the attendant
- 23 uncertainties. The presence or absence of ice (absence defined as ice concentration below 15%)
- 24 is modeled using a categorical regression model, with atmospheric, oceanic, and sea ice
- covariates at 1- to 7-month lead times. The model parameters are estimated in a Bayesian
- 26 framework, thus enabling the posterior predictive probabilities of the minimum sea ice cover and
- 27 parametric uncertainty quantification. The model is fitted and validated to September minimum
- sea ice cover data from 1980 through 2018. Results show overall skillful forecasts of the
- 29 minimum sea ice cover at all lead times, with higher skills at shorter lead times, along with a
- direct measure of forecast uncertainty to aide in assessing the reliability.

Plain Language Summary

- 32 Every summer, sea ice in the Arctic undergoes melt and retreat, allowing access to otherwise
- difficult to reach areas. This has sparked growing interest in short- and long-term forecasting of
- 34 summer sea ice to assist in planning and preparation of logistically intensive Arctic expeditions.
- 35 Currently, forecasts more than three months in advance tend to be less skillful than forecasts
- made less than three months in advance. This study presents a novel approach to seasonal
- 37 probabilistic forecasts of the minimum September sea ice cover through regression analysis,
- 38 relating minimum summer sea ice to winter and spring sea ice, atmospheric, and oceanic
- 39 conditions. We use 'skill scores' to evaluate how well our forecasts perform in a variety of
- 40 circumstances. We find that this method is able to skillfully predict up to 7 months early the
- 41 probability that sea ice will be present across the entire Arctic Ocean at the summer minimum.
- This means that stakeholders interested in access to the Arctic Ocean during summer can have
- reliable long-term forecasts to aide in planning and preparation.

44 1 Introduction

- 45 Sea ice is a major component of the Arctic climate system, influencing atmospheric and oceanic
- 46 circulation by inhibiting heat and moisture exchanges between the ocean and atmosphere and
- 47 reflecting most of the incident solar radiation. Since the beginning of the satellite era in 1978,
- 48 sea ice cover in the Arctic ocean has seen a decrease in all seasons, with an annual decrease rate
- of 4% per decade (Cavalieri & Parkinson, 2012) and the most dramatic decline experienced in
- 50 September (Onarheim et al., 2018; Julienne Stroeve & Notz, 2018). Since 2007, the September
- 51 Arctic sea ice extent has consistently remained below the pre-2007 records. Decreasing summer
- 52 ice cover has led to an extension of the Arctic ocean open water season by about a week each
- decade (J. Stroeve et al., 2014; Julienne Stroeve & Notz, 2018). This increase in Arctic
- accessibility is of keen interest for geopolitics, resource extraction, shipping, tourism, and
- scientific research. Skillful forecasts of minimum sea ice and open water passages is therefore of
- 56 great importance to these and other stakeholders.
- 57 The changing Arctic climate and high variability of sea ice has sparked growing interest in
- 58 modeling and predicting summer sea ice conditions. Following the then record low September
- 59 sea ice extent in 2007, the Study of Environmental Arctic Change (SEARCH,
- 60 https://www.searcharcticscience.org/seaiceoutlook) began soliciting Sea Ice Outlooks (SIOs)
- from the scientific community, forecast centers, and the public. Now managed by the Sea Ice
- 62 Prediction Network (SIPN) (https://www.arcus.org/sipn/sea-ice-outlook), interest and

- participation in SIO has grown from 39 submitted predictions in 2008 to 112 predictions in 2018,
- with a variety of dynamical (coupled ice-ocean models or coupled ice-ocean-atmosphere
- models), statistical, mixed, and heuristic based methods (see (Hamilton & Stroeve, 2016).
- Dynamical models for seasonal sea ice predictions use sea-ice conditions, ocean temperatures,
- and/or atmospheric conditions to initialize the models for each season (Msadek et al., 2014).
- These models can be coupled ice-ocean models with prescribed atmospheric forcing such as the
- 69 Pan-Arctic Ice-Ocean Modeling Assimilation System (PIOMAS) (Zhang et al., 2008) or coupled
- 70 ice-ocean-atmosphere models such as CFSv2 (W. Wang et al., 2012) and CanSIPS (Sigmond et
- al., 2013). Typically, these models are used to create ensemble forecasts from which estimates
- of the minimum September sea ice extent as well as spatial field probabilities can be obtained.
- While these methods have shown promise in recent years, skillful forecasts at lead times greater
- than 4 months remain elusive (Bushuk et al., 2017) and forecast uncertainty is not well
- 75 quantified.
- 76 Statistical forecasting of Arctic sea ice cover dates back to the 1980s and has developed
- substantially in recent years. Early forecasts focused on predictions utilizing the relationships
- between sea ice and atmospheric (Barnett, 1980; Walsh, 1980), oceanic (Johnson et al., 1985), or
- sea ice (Johnson et al., 1985; Walsh, 1980) predictors. The primary focus was to predict a single
- parameter such as total sea ice extent, either regionally (Drobot, 2007; Drobot & Maslanik,
- 81 2002) or pan-Arctic (Drobot et al., 2006; Lindsay et al., 2008). More recently, statistical
- methods have been used to provide spatial field predictions of sea ice concentration using a
- variety of techniques such as a linear Markov model (Yuan et al., 2016), a vector autoregressive
- model (Wang et al., 2015), a deep neural network (Chi & Kim, 2017), a convolutional neural
- network (Kim et al., 2020), and a data-adaptive harmonic technique (Kondrashov et al., 2018).
- 86 There is no statistical method in vogue to generate probabilistic spatial field forecasts presented
- 87 in the yearly SIO, which motivates the need for a statistical method to join the dynamical model
- 88 forecasts.
- 89 This study presents a novel approach to probabilistic minimum sea ice forecasts by employing
- 90 multivariate Bayesian logistic regression at pan-Arctic grid points. By utilizing a statistical
- 91 framework, the output of this technique is not merely a point estimate of predicted probabilities,
- but rather a distribution from which a direct quantification of uncertainty is available. Forecasts
- are made for minimum sea ice cover for 1- to 7-month lead times, and performance is evaluated
- across the spatial domain as well as at local grid points for each lead time using both a rolling-
- 95 validation technique and a drop-one cross-validation technique. This method is a compliment to
- 96 the existing suite of statistical and dynamical sea ice forecasting models and the scope of this
- paper is to introduce this method, rather than a stand-alone study of sea ice variability.

2 Data and Methods

99 2.1 Sea Ice

- Sea ice data is obtained from the Sea Ice Index products housed at the National Snow and
- 101 Ice Data Center (NSIDC) (Fetterer et al., 2017). The data are derived from two sources: 1) the
- Near-Real-Time DMSP SSMIS Daily Polar Gridded Sea Ice Concentrations (NRTSI) from the
- 103 Special Sensor Microwave Imager/Sounder (SSMIS) on board the Defense Meteorological
- Satellite Program (DMSP) satellites (Maslanik & Stroeve, 1999), and 2) the combined Nimbus

- Scanning Multichannel Microwave Radiometer (SMMR, 1979–1987), the DMSP Special Sensor
- 106 Microwave/Imager (SSM/I, 1987–2007), and the Special Sensor Microwave Imager/Sounder
- 107 (SSMIS, 2007 to present) 25 km x 25 km gridded sea ice concentration data product from the
- NASA Team sea ice algorithm (Cavalieri et al., 1996). September minimum sea ice cover at
- each grid cell (where ice is deemed "present" if the concentration is above 15%) is calculated for
- 110 the period 1980-2018.

2.2 Atmospheric & Oceanic Variables

112 Studies have shown that spring atmospheric conditions have a strong impact on summer sea ice melt (Kapsch et al., 2014, 2019). Favorable conditions transport moisture to the Arctic, 113 114 increasing cloud cover and water vapor affecting anomalous sea ice concentrations (Kapsch et al., 2013). Increased cloud and water vapor have been linked to earlier melt onset through 115 116 increased longwave downwelling (Mortin et al., 2016). Because of its long memory, the ocean 117 can be a source for long-term predictability of the climate and sea ice (Boer, 2004; Griffies & 118 Bryan, 1997; Guemas et al., 2016; Lindsay et al., 2008). Building on the extensive literature 119 studying the predictability and variability of sea ice (Huang et al., 2019; Ionita et al., 2019; 120 Olonscheck et al., 2019; Rigor et al., 2002; Tivy et al., 2007; Wang et al., 2015, 2019; Yuan et 121 al., 2016), several local atmospheric predictors were considered for inclusion including 122 downwelling longwave and shortwave radiation, cloud fraction, sea level pressure, total column 123 water vapor, and surface air temperature. Additionally, the first principle component (PC) of 124 geopotential height at 500 hpa & 1000 hpa (north of 60° latitude) and Atlantic and Pacific (as defined by WOCE Data Products Committee. 2002, for latitudes north of the equator) sea surface 125 126 temperatures (SSTs) were included as predictors. Selection of covariates was determined using 127 Bayesian Information Criterion (BIC) and heuristic decision making. Relying on BIC only 128 results in different model structures at each grid cell and lead time. For simplicity, a single 129 model structure was chosen based on BIC results and heuristic selection. Allowing different 130 covariates per grid cell or lead time is left for future work. The final selected model uses local 131 surface air temperature, downwelling longwave radiation, and sea ice concentration as well as 132 the first PC of geopotential height at 500 hpa and Atlantic and Pacific SSTs. All atmospheric and oceanic values are derived from the Modern Era Retrospective Analysis for Research and 133 134 Applications (MERRA-2) (Gelaro et al., 2017). MERRA-2 uses the Goddard Earth Observing 135 System, Version 5.12.4 (GEOS-5) atmospheric model and Global Statistical Interpolation (GSI) 136 analysis scheme and has an approximate spatial resolution of 0.5° latitude by 0.625° longitude. 137 MERRA-2 has been shown to perform well compared to other common reanalysis products in 138 terms of Arctic atmospheric variables (Graham et al., 2019) as well as oceanic variable (Lim et 139 al., 2017). Local data is subsetted to only include locations with a latitude > 40N and are re-140 gridded to match the coordinate reference system projection of the sea ice data (polar 141 stereographic projection at a grid cell size of 25 x 25 km).

2.3 Logistic Regression Model

Logistic regression is a technique that specifies the probability that a binary response variable is one class given the values of explanatory variables: $\pi(x)=p(Y=1|X=x)$ where $\pi(x)$ lies between 0 and 1, the random variable Y takes on values 0 or 1, and X is a vector of predictors. In this study, the response variable is the presence/absence of sea ice on the day of minimum extent, and the explanatory variables are the anomalous sea ice concentration, atmosphere, and ocean

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148 predictors discussed in Sections 2.1 & 2.2. The authors recognize that these covariates can 149

exhibit a strong trend over the study period, but because the response variable is binary and

150 cannot be de-trended, anomalous values are used rather than de-trended values. An exploratory

151 analysis using de-trended values yields results that do not change significantly.

152 The probability $\pi(x)$ is parameterized as

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$$\pi(x) = \frac{e^{\beta_0 + \beta_1 X_1 + \dots + \beta_m X_m}}{1 + e^{\beta_0 + \beta_1 X_1 + \dots + \beta_m X_m}}$$

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156 where X_i represents the *i*th of *m* covariates with corresponding regression coefficient β_i , typically 157 estimated by maximum likelihood whose uncertainties rely on large-sample central limit theorem 158 approximations. Small sample sizes can lead to complete or quasi-complete separation in which 159 the response variable Y is completely separated by a predictor variable, X_l , e.g., $p(Y_i=1|X_{il}>2)=0$ 160 & $p(Y_i=1|X_{i,l}<2)=1$. Separation is a common problem in logistic regression and was apparent 161 when applied here, but Bayesian methods can be used to mitigate this effect with application of

162 prior distributions.

2.4 Bayesian Framework

The Bayesian approach provides a method for updating the distribution of statistical parameters of the model based on observations given some prior beliefs about the true behavior of the system. Here, we seek the posterior distribution of regression coefficients, $p(\beta|data)$, using a prior distribution for β , $p(\beta)$, and a conditional likelihood, $L(data|\beta)$. Our conditional likelihood is products of independent Bernoulli trials whose probability parameters are parameterized according to the logistic regression above. Following Gelman et al., 2008, we use independent center zero Cauchy prior distributions for each regression coefficient with scale 10 for the intercept and 2.5 for other regression coefficients. In practice, the posterior distribution of the regression coefficients in logistic regression is not available in closed form and is approximated by generating samples that are approximately distributed according to the posterior using Markov Chain Monte Carlo (MCMC) techniques (Gelman et al., 2013). MCMC approaches can be computationally prohibitive; we therefore approximate the posterior mode of regression coefficients using an approximate Expectation-Maximization (EM) algorithm (Dempster et al., 1977), and gather associated standard errors in accordance with Gelman et al., 2008, using the 'arm' package in the R programming language. Given the posterior mode, posterior predictive samples are generated by sampling regression coefficients according to a multivariate normal distribution followed by samples from the logistic predictive model, conditioned on the posterior parameter samples. The final output is an approximated posterior predictive distribution of the probability of the presence of sea ice (Figure S1).

2.5 Application

With this framework, a separate Bayesian logistic regression model is fitted at each grid point with valid predictor values. Any location that has never seen a change in sea ice cover is omitted. The output is a posterior distribution of probabilistic forecasts of sea ice cover at each

grid point from which a median value and credible intervals can be determined. Because monthly mean values are used which are not available until the following month, a 1-month lead time (made in August) corresponds to July monthly mean values. Additionally, a single value pan-Arctic sea ice extent is derived from these probabilistic forecasts as outlined in Section 3.3.

2.6 Skill Measurement

To test the overall performance of this model, a rolling-validation scheme is applied in which years 1980 through 1989 were used for training the model to forecast year 1990, after which years 1980 through 1990 were used to forecast year 1991, and so on through prediction year 2018. The skill is quantified via Brier Scores (BS) for each lead time. A Brier Score is the mean squared error of probability forecasts for dichotomous events, in this case presence (1) or absence (0) of sea ice. The BS is

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$$BS = \frac{1}{N} \sum_{t=1}^{N} (f_t - o_t)^2$$

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where f_t are the forecasted probabilities, o_t are the observed outcomes, and N is the number of forecasts. The BS takes on values in [0,1], with a smaller score representing better predictions. To compare this technique to a reference case, the Brier Skill Score (BSS) is calculated by comparing the Brier Score to climatology following:

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$$BSS = 1 - \frac{BS}{BS^{ref}}$$

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where BS^{ref} is the Brier Score using climatology as the forecasted probabilities. Here, BSS=0 is 208 209 equal to climatology, BSS>0 outperforms climatology, and BSS<0 is worse than climatology. 210 Climatology is calculated as the proportion of the presence of sea ice at each grid over all the 211 training years. We use two forms of climatology; 1) using all the previous years to predict the 212 current year, here on referred to as the full climatology, and 2) using the previous 10 years to predict the current year, here on referred to as the 10-year climatology. This method is also used 213 214 to measure the skill of the fully fit model compared to a concentration only model in which sea 215 ice concentration is the only predictor, showcasing the value of the atmospheric and oceanic 216 predictors.

216 predictors

- Assessment of Brier Scores are implemented in two ways: 1) A single "global" BS is calculated across the spatial domain (north of 67° latitude) for each year individually in a similar fashion as
- the SIO, where N is the total number of grid locations, and 2) a local BS at each individual grid
- point where N is the total number of years (29).
- 221 The performance of this method is also evaluated using a drop-one cross-validation scheme
- applied to every year of observation at each lead time. For example, if year 2000 is dropped, the

- 223 model is fit on all other years and a prediction is made with year 2000 data. This is repeated for
- all years. These results show only slightly greater "global" skill than the rolling-validation
- scheme (though with a very similar temporal pattern, Figure S2). The local BS using cross-
- validation show slight improvement compared to the rolling-validation results by increasing the
- 227 number of locations that show skill (Figure S3). The rolling-validation replicates the practical
- forecast system and is therefore chosen for further discussion in this paper, while the cross-
- validation results can be found in the Supplemental text.
- 230 The forecasted pan-Arctic sea ice extent is compared to two baseline statistical models: a
- climatology model and an anomaly persistence model. The forecast from the climatology model
- is simply the mean minimum sea ice extent from all previous years, and therefore does not
- change with lead time. The anomaly persistence model assumes that the sea ice extent anomaly
- at a given lead time will be the same as the anomaly during the September minimum. The skill
- of the Bayesian logistic regression model is compared to these baseline models with common
- 236 quantitative performance measures, namely the Nash–Sutcliffe model efficiency coefficient
- 237 (NSE), the root mean squared error (RMSE), and the mean absolute error (MAE) (Chai &
- 238 Draxler, 2014; Chatur et al., 2013; DeRousseau et al., 2019; Nash & Sutcliffe, 1970).
- NSE, historically used to assess the predictive power of hydrologic models, quantifies how well
- a model simulation can predict the outcome variable. Given the observed value, y_i , the predicted
- value, \hat{y}_i , and the average value of the data (climatology at a given grid cell), \bar{y} , NSE is calculated
- 242 as:

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$$NSE = 1 - \frac{\sum_{i} (y_i - \hat{y}_i)^2}{\sum_{i} (y_i - \bar{y})^2}$$

- NSE takes on values in $(-\infty,1]$ with higher values indicating greater accuracy. A score of 0
- indicates that the model predictions are as accurate as the mean of the observed data, and a score
- 246 that is less than zero indicates that the mean is a better predictor than the model.
- 247 The MAE is a measure of the average magnitude of errors of a model by using the absolute value
- of the errors. It represents the median error of the model and is measured on the same scale as the
- observed variable, with lower values indicating a better model fit. Given observed values, y_i ,
- predicted values, \hat{y}_i , and *n* observed values, MAE is calculated as:

$$MAE = \frac{\sum_{i=1}^{n} |\hat{y}_i - y_i|}{n}$$

- 252 The RMSE is the standard deviation of the prediction errors and indicates how concentrated the
- data is around the model fit. Similar to MAE, RMSE is measured on the same scale as the
- observed variable, and is always positive due to the squared residuals in its calculation. These
- squared terms additionally highlight the effect of outliers, an important feature when high errors
- are a concern. RMSE is calculated as:

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$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (\hat{y}_i - y_i)^2}{n}}$$

In this study all three metrics are used to capture the accuracy and median and mean errors of pan-Arctic minimum September sea ice extent forecasts.

3 Results and Discussion

3.1 Forecasted Probabilities and Uncertainties

To assess the performance of this method under varying conditions, forecasts made for a recent low extent year and a recent high extent year (2012 and 2009, respectively) are examined in closer detail (Figure 1). 2012 is the record low sea ice minimum year since the start of the satellite era, which resulted from a combination of preconditioned overall ice reductions and a strong summer storm that entered the central Arctic in early August (Parkinson & Comiso, 2013). 2009, on the other hand, had the highest September minimum extent in the last decade. Despite an Arctic Dipole Anomaly (DA) in June and July bringing warm southerly winds into the Chukchi and East Siberian Seas, August and September were dominated by low pressure in the Chukchi and Beaufort Seas along with high pressure over Greenland, likely favoring ice divergence and slowing the decline in ice extent (Stroeve et al., 2012). Both years proved challenging for forecast systems (Stroeve et al., 2014b).

The output of each forecast contains a probability spatial field (Figure 1a and 1c) as well as spatially mapped width of the central 95% posterior predictive credible interval (Figure 1b and 1d). Overall, the forecasts capture the general spatial features of the minimum extent with greater accuracy at shorter lead times. Greater uncertainties are mostly restricted to areas near the minimum sea ice edge signifying high confidence across most of the Arctic, but inexact forecasts surrounding the sea ice edge.

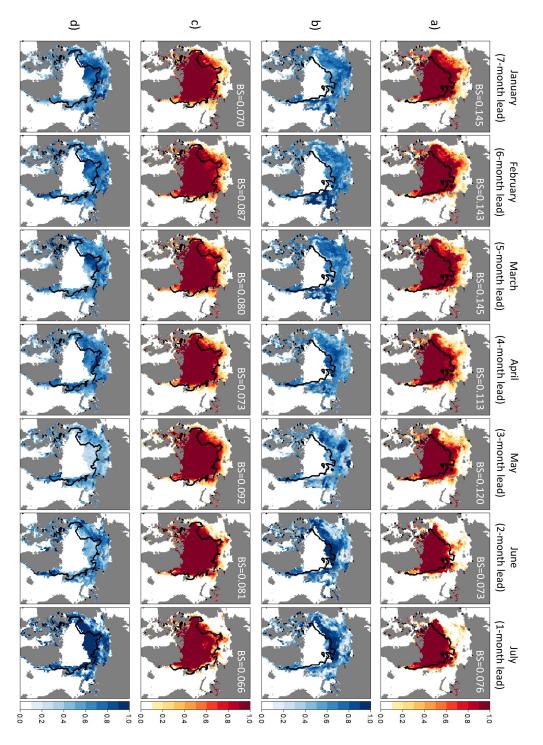


Figure 1. Example output at each lead time for years 2012 (a and b) and 2009 (c and d). Rows a and c show the median forecasted probability maps, and rows b and d show the width of the central 95% posterior predictive credible interval. The black outline in each map shows the actual minimum extent for the given year. Global Brier scores in white text.

287 Global Brier scores are used in the SIO to measure the skill of forecast submissions and are therefore used here as well (Figure 1, white text). Forecasts for 2009 (Figure 1c) contain similar 288 289 skill across all lead times. In fact, January predictors provide the second most skillful forecast. 290 The uncertainty however, shows generally wider credible intervals at longer lead times, 291 decreasing as lead time decreases (Figure 1d). Forecasts for 2012 show roughly constant skill 292 using winter predictors (January through March), followed by a substantial increase in skill from 293 May to June (Figure 1a). In both years, there is a spike in uncertainty using July predictors 294 compared to May and June. Similar results are found for years 2007 (second lowest extent year, 295 Figure S4a and S4b) and 1996 (highest extent years, Figure S4c and S4d). Because forecasts 296 made in July rely mostly on sea ice concentration as a predictor, inclusion of the remaining 297 covariates is a potential source of this surge in uncertainty. 298 3.2 Forecast Skill through Rolling-Validation 299 The rolling-validation local Brier skill scores (found individually at each grid cell) show high 300 forecast skill at mid-Arctic latitudes along the Northern Sea Route and in the Beaufort and 301 Chukchi seas (Figure 2). At shorter lead times, high skill is present across most of the spatial 302 domain, and as lead time increases the total area over which the model outperforms both 303 climatology forecasts decreases (Figure 2a and 2c). A similar pattern is observed when 304 compared to the sea ice concentration only model, although skill decreases in June and July as the sea ice concentration becomes the dominant predictor (Figure 2b). The high skill compared 305 306 to the sea ice concentration only model at long lead times can be explained by lower variability

in sea ice concentration during the winter and spring as well as spring atmospheric conditions

affecting melt onset which impacts ice retreat later in the season (e.g. Stroeve et al., 2016).

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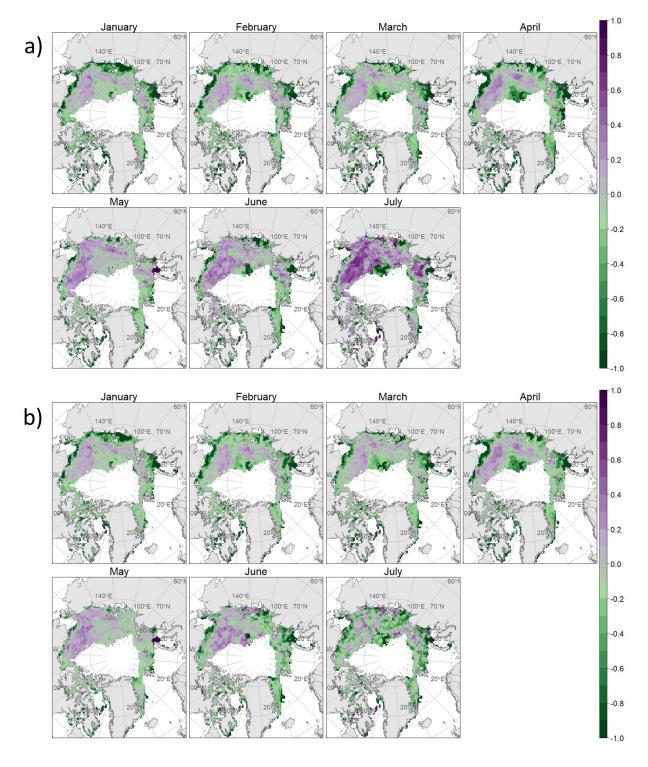


Figure 2. Spatial maps of the rolling-validation Brier Skill Scores at all lead times compared to a) full climatology, b) concentration only model, and c) 10-year climatology. Scores less than -1 have been assigned a value of -1 for clarity.

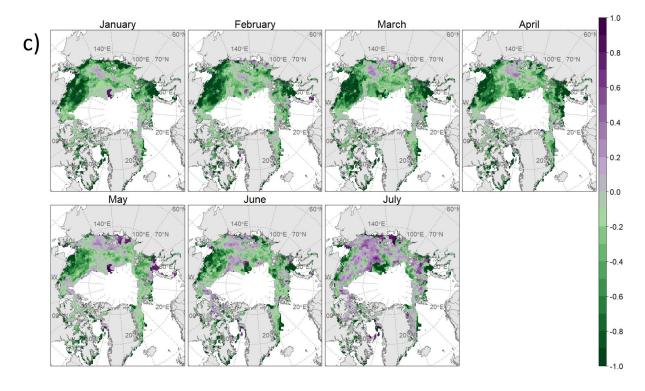


Figure 2. (continued).

The full climatology BSS maps (Figure 2a) show little changes from January to April, then a gradual increase in areal coverage of skill appears from April to July. The time period with increasing skill is when the melt season ramps up and is an important transition period for the Arctic climate system. Persson (2012) found that spring atmospheric processes can create significant surface warming in the Arctic prior to melt onset occurring. As melting progresses, the surface albedo decreases, allowing more heat to be absorbed, further enhancing melt (Stroeve et al., 2012). This signifies a transition where predictive power is transferred from the atmosphere to the sea ice.

The 10-year climatology BSS maps (Figure 2c) show less skill compared to the full climatology BSS, particularly at lead times greater than 3-months. Because the 10-year climatology uses sea ice cover from more recent years in which sea ice covers a smaller area compared to the 1980s and 1990s, the lower BSS suggests that our forecast system exhibits bias towards over predicting the probability of sea ice cover. This is explored further using a reliability diagram (Figure 3) in which forecasted probabilities from all grid boxes and all years are binned into small groups of width 0.05 ranging from 0 to 1 (i.e., [0,0.05), [0.05,0.1), ..., ([0.95,1]). Then, for each bin, the frequency of occurrence of sea ice is determined from observations. Bias towards over

predicting sea ice cover is seen here as most values from each lead time are below the 1 to 1 line, particularly with forecasted probabilities closer to 1.

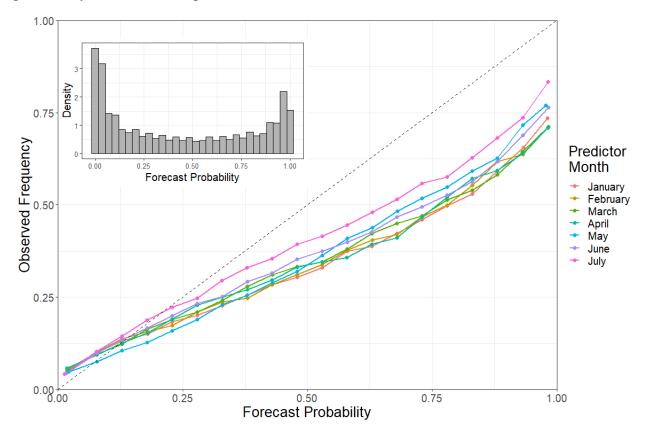


Figure 3. Reliability diagram showing the conditional distribution of observed sea ice cover, given the forecast probability, plotted against the forecast probability. Colored lines and points represent predictor month, the dashed line is the 1:1 line representing perfectly reliable forecasts, and the histogram is of all forecasted probabilities.

The contribution of atmospheric and oceanic covariates are examined by individually dropping these covariates, refitting the model with the remaining covariates, forecasting, and comparing to the full model fit (Figure 4). As before, positive BSS values indicate locations where the fully fit model out performs the model with the dropped covariate, indicating that the inclusion of that particular covariate adds predictive skill. Surface air temperature adds skill across much of the Arctic domain at lead times of 1- to 3-months (Figure 4a). This area decreases as lead time increases, but skill is still provided in the Beaufort and Chukchi Seas. Downwelling longwave radiation provides skill during the winter and spring, but less so at shorter lead times (Figure 4b). During winter the surface energy budget is largely dominated by longwave radiation and with spring comes the onset of melt in the snowpack atop sea ice. Once liquid water is present, longwave radiation becomes less important in driving sea ice melt as demonstrated by providing less skill at shorter lead times. Pacific and Atlantic SSTs provide skill in similar locations at concurrent lead times with a notable increase in skill across much of the Arctic using May predictors (Figure 4c and 4d, respectively). The first principal component of wintertime extratropical SSTs has been linked to changes in Arctic sea ice cover by influencing planetary

wave propagation, thereby affecting the strength of the polar vortex (Li et al., 2015). Because
sea ice concentration is at or nearly 100% across most of the Arctic during winter, winter and
early spring SSTs can provide information on the state of the Arctic climate when little
information can be gained from the state of the sea ice. Geopotential height at 500hpa provides
skill at longer lead times (4- to 7-months) in the central Arctic (Figure 4e). Rigor et al. (2002)
found that the memory of the wintertime AO persists through most of the subsequent year,
influencing sea ice advection and heat transport to the Artic from lower latitudes. While the first
principal component of geopotential height at 500hpa is not the same as the AO index, it is
representative of synoptic scale circulation patterns that could impart similar impacts on summer
sea ice.

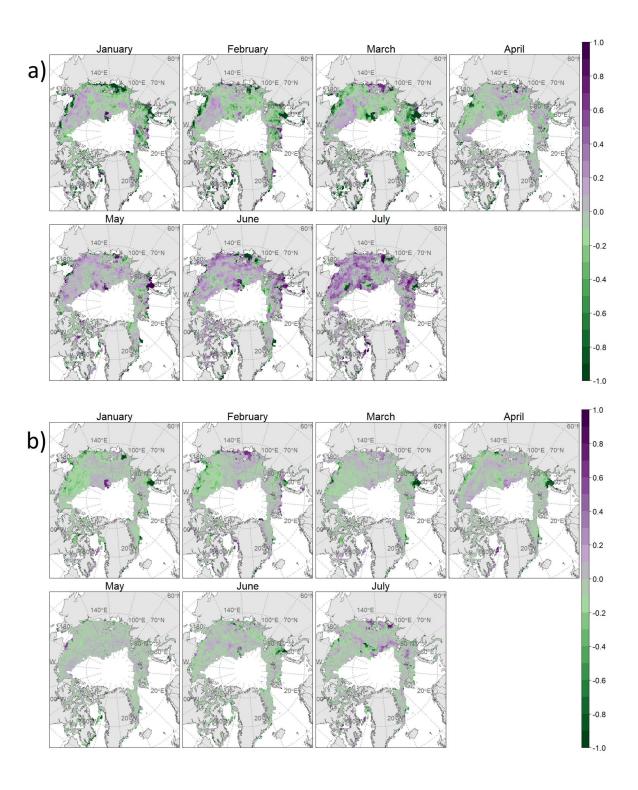


Figure 4. Skill of full model fit compared to models with covariates dropped individually where dropped covariates are a) air temperature, b) downwelling longwave radiation, c) Pacific SST PC, d) Atlantic SST PC, and e) geopotential height at 500 hpa PC. Scores less than -1 have been assigned a value of -1 for clarity.

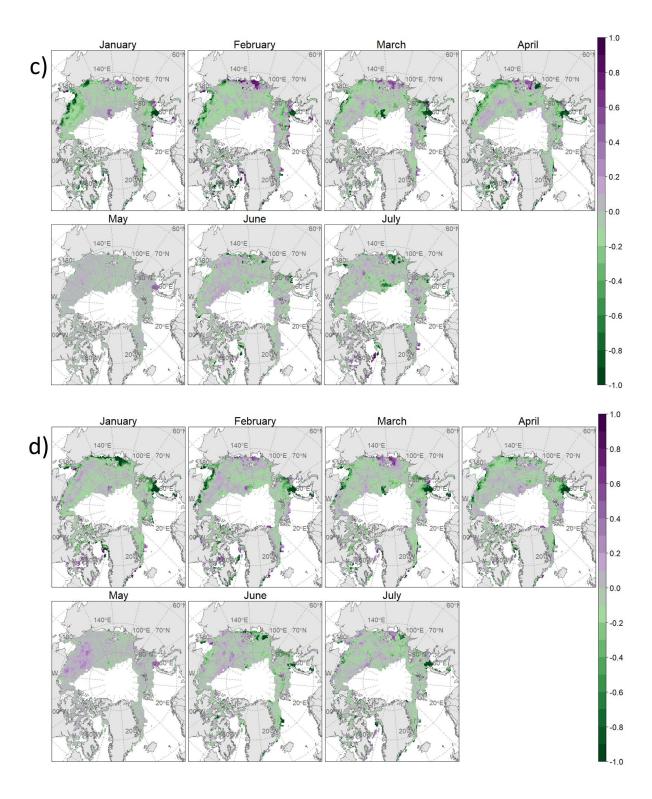


Figure 4. (continued)

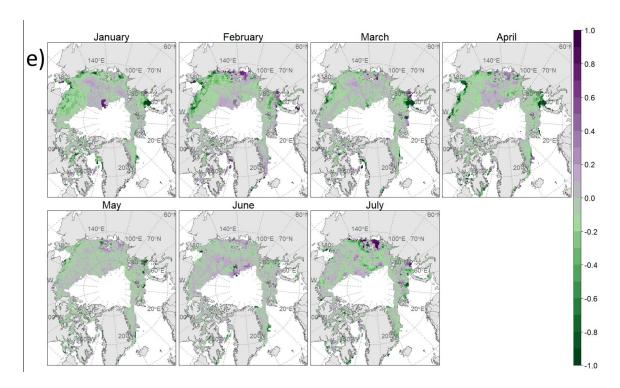


Figure 4. (continued)

Some of the skill maps in Figure 4 can appear spatially inconsistent, a result of separate model fitting at each location. While this does not affect the performance of this model the authors recognize that this is a feature that could be addressed further. Possible approaches are to apply a spatial smoother to the fitted regression coefficients, place a spatial process on the prior distribution, include a spatial component in a pan-Arctic model, or fit models regionally rather than locally, but this is left for future work.

Forecasts of the 2018 minimum sea ice cover probability via this approach were submitted to the SIO in June, July, and August of 2018 (Figure 5) (Bhatt et al., 2019). Out of 120 total forecasts submitted to the SIO in 2018, a total of 10 spatial field forecasts were submitted in June, 14 in July, and 12 in August. These forecasts were made with a mixture of statistical and dynamic methods. The skill of each forecast was measured using spatially averaged Brier Scores where a value of zero represents a perfect forecast and a 1 represents no skill. The approach presented in this paper yielded a lower Brier score (higher skill) than all other methods in June and July, and was only outperformed by 1 forecast in August.

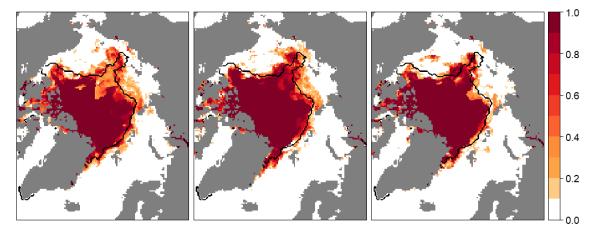


Figure 5. Forecasted probability fields of the 2018 minimum September extent that were submitted to the 2018 SIO. The black line represents the observed minimum sea ice edge that occurred on September 23, 2018.

Although 2018 was a fairly average extent year in the last decade, this method shows promise with the relatively high performance and will continue to make forecasts in future years. The forecasted single value pan-Arctic sea ice extent can also be obtained with this method and was submitted to SIO in 2018. This forecast, however, was not as accurate as other statistical methods (Bhatt et al., 2019) as pan-Arctic sea ice extent is not the value this method is designed for. Further discussion of this single value sea ice extent is discussed in the following section.

3.3 Pan-Arctic Sea Ice Extent and Global Brier Scores

Because this method outputs a probability at a given grid point, to obtain a pan-Arctic sea ice extent each of these probabilities needs to be converted into a binary output, the presence or absence of sea ice cover. This is achieved by establishing a probability threshold (i.e., if the threshold is 50%, then any grid cell with a probability <50% is set to "ice free" and any grid cell with a probability ≥50% is set to "ice covered"). This threshold is found by varying it from 1% to 99%, calculating the total sea ice extent by summing the area of grid cells that are "ice covered", and comparing to the observed minimum extent. This is performed for every year during rolling-validation and at every lead time which shows approximately a 70% probability threshold to be the most accurate. This threshold, which should be 50% for a binary prediction, is a result of the bias towards over predicting sea ice cover probability discussed earlier.

Using this 70% threshold, time series of the forecast of minimum extent at each lead time are compared to historical observations (Figure 6a). All lead times capture the overall decreasing trend from 1990 to 2018, with large deviations in 2015 and 2016 (Figure 6a) and corresponding high Brier scores (Figure 6b). The 2015 errors are likely due to the dramatic changes the Arctic experienced that summer, with unusually low temperatures in May and June followed by one of the then warmest Julys on record, and finally slowed extent loss in late August and September (Stroeve et al., 2015). This resulted in under predictions at short lead times, and over predictions at longer lead times. The strong central Arctic cyclone in August of 2016 is likely the cause of over predicted extents that year, which is also observed in 2012 when a similar cyclone occurred.

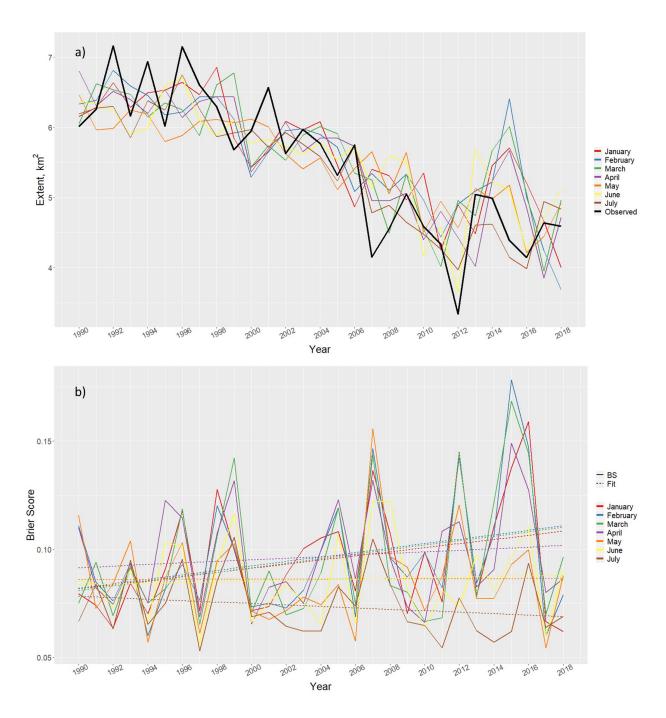


Figure 6. Comparison of forecasted and observed minimum September extents, a) time series of observed and forecasted minimum extents for each lead time (monthly mean values used as predictors), and b) global Brier score for each lead time (solid lines) along with the fitted OLS linear regression (dotted lines).

The global Brier score (Figure 6b) provides a measure of performance year by year and is the metric used in the SIO post season reports (i.e. Bhatt et al., 2019). Long lead times (January-April) show a decreasing trend in skill from 1990 to 2018 while short lead times (May-July) show either no trend or increasing skill, resulting in a slightly greater mean Brier score (averaged

431 432 433 434	across all lead times) for years 2009-2018 (mean BS = 0.092) compared to years 1990-1999 (mean BS = 0.089). Recent years show a noticeably larger spread in Brier scores compared to the 1990's, a result of greater differences between long and short lead times. Since 1999, the Arctic has seen a dramatic decrease in overall sea ice thickness and multiyear ice (MYI)
435 436 437 438 439	coverage which now covers less than one third of the Arctic ocean (Kwok, 2018). This shift to predominantly seasonal ice cover renders summer sea ice more susceptible to the highly variable summer atmospheric circulation (discussed further in Section 4), impacting the effectiveness of long lead seasonal forecasts as demonstrated by the greater spread and higher peaks in Brier score since 2000 (Figure 6b).
440 441 442 443 444 445 446	Three metrics outlined in Section 2.6 are used to assess the skill in pan-Arctic September minimum sea ice extent forecasts compared to baseline statistical models (Figure 7). At all lead times the forecasted values show greater accuracy using all three metrics when compared to both the climatology and anomaly persistence models. The decreasing gap between skill scores for our model and the anomaly persistence model as lead time decreases below 3-months reiterates the discussion in section 3.2 in that forecast skill comes primarily from sea ice concentration as lead time decreases.

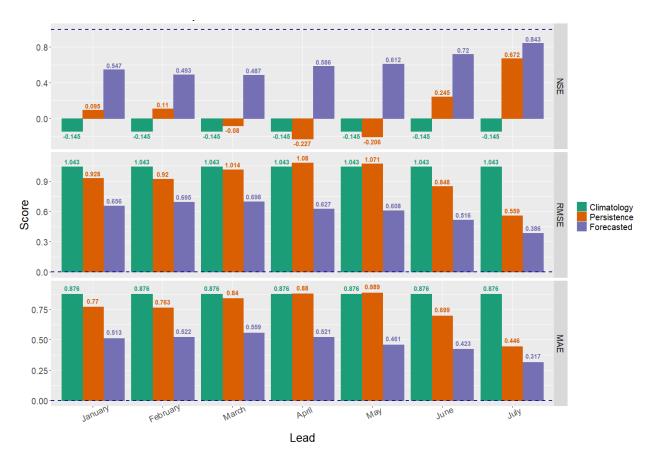


Figure 7. Comparison of forecasted minimum September extents with a climatology model and an anomaly persistence model at 1- to 7-month lead times. Skill is measured via NSE, RMSE, and MAE. The dotted lines indicate the best possible score for each metric.

Interestingly, both forecast values and anomaly persistence outputs show a decrease in skill as lead times decrease from winter to spring with the least accurate model forecasts occurring in March and the least accurate anomaly persistence forecasts occurring in April for NSE and RMSE and May for MAE. This touches on the idea of a "spring predictability barrier" found by Bonan et al. (2019) in which forecasts initialized prior to May are less skillful than forecasts initialized after May. However, here we've demonstrated that winter geopotential height and sea surface temperatures add predictive power at longer lead times.

4 Summary and Conclusion

With expanding open ocean conditions in summer months, interest in Arctic accessibility is growing quickly with much potential for socio-economic benefit. The need for accurate seasonal forecasts of sea ice extent is therefore of great use to stakeholders for route planning and is of interest to the scientific community. This study has developed a novel approach for seasonal probabilistic forecasts of the minimum sea ice extent using a Bayesian logistic regression framework. The output is a probabilistic spatial map of the location of sea ice during the sea ice minimum extent, resulting in a direct measure of uncertainty at each grid point. By including a combination of atmospheric, oceanic, and sea ice predictors, this method was able to make accurate forecasts up to 7-months in advance across much of the Arctic Ocean. Additionally,

- providing the uncertainty of forecasts can provide users with a measure of reliability of the predictive power of this method.
- 470 The progression of BSSs from January to July depict the predictability of sea ice through the
- change in season. From January through March the climatology BSSs remain fairly constant, but
- in April there is a noticeable increase in skill, coinciding with the onset of the melt season. The
- sea ice concentration remains largely unchanged from March to April across most of the Arctic,
- so this increase in skill comes from the atmospheric predictors. With melt water appearing in
- late March and April, the Arctic's climate system adjusts to accommodate these new conditions
- and is able to absorb more solar radiation, influencing local temperature and pressure gradients
- and therefore atmospheric circulation. From May through July, the climatology BSS increases
- substantially, with the dominant source of predictability shifting to the sea ice concentration.
- This coincides with more pronounced changes in sea ice concentration across the Arctic in the
- summer months.
- The forecasted total sea ice extents (calculated via the method described in Section 3.3) show
- less accuracy using January through March predictors (particularly for extreme events), but skill
- increases with decreasing lead time beginning in April. While years with extreme events pose
- challenges for this forecasting method, there is potential for improved performance by simply
- including more observations as they become available, particularly as low extent years
- 486 progressively become the norm. There will, however, always be a limit on seasonal predictive
- accuracy due to variability in summer atmospheric circulation (Serreze & Stroeve, 2015), a point
- 488 that has historically received much attention in the literature (Lynch et al., 2001; Maslanik &
- Stroeve, 1999; Serreze et al., 1995; Stroeve et al., 2012; 2008). In case studies depicting the
- 490 impact of variability in summer atmospheric circulation on minimum September extent, Serreze
- 491 & Stroeve (2015) point out that yearly variations of temperature and wind direction strongly
- influence the dynamic and thermodynamic states of sea ice. Because summer weather conditions
- in the Arctic are highly variable (Serreze & Barrett, 2008, 2010), seasonal forecasts of summer
- sea ice will always suffer. Additionally, recent studies have shown that there may be a summer
- sea ice predictability barrier in spring for some Arctic regions (Bonan et al., 2019; Bushuk et al.,
- 496 2017; Day et al., 2014). By assessing general circulation model outputs, these studies found a
- 497 universal drop in prediction skill when models are initialized in May compared to June,
- 498 particularly in the marginal seas of the Arctic basin. Given these limitations, the probabilistic
- 499 forecasting method presented here provides accurate predictions, particularly at long lead times
- by utilizing the predictive power of wintertime geopotential heights and sea surface
- 501 temperatures.

Acknowledgments

- This work was supported by NOAA grant NA15OAR4310171 and we acknowledge the
- 504 contributions of the late Drew Slater. We are thankful to the three anonymous reviewers for
- their insightful comments and suggestions, which greatly improved the manuscript. All data

- used is publicly available from NASA (https://gmao.gsfc.nasa.gov/reanalysis/MERRA-
- 507 2/data access/) and NSIDC (https://nsidc.org/data).

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